

## PART B - FEE(S) TRANSMITTAL

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29175 7590 02/20/2004

BELL, BOYD & LLOYD, LLC  
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|                    |                    |
|--------------------|--------------------|
| Renee Street       | (Depositor's name) |
| <i>[Signature]</i> | (Signature)        |
| May 14, 2004       | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/292,834      | 04/16/1999  | KOJI MORIGUCHI       | P99.0653            | 4060             |

TITLE OF INVENTION: GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO           | \$1330    | \$300           | \$1630           | 05/20/2004 |

| EXAMINER              | ART UNIT | CLASS-SUBCLASS |
|-----------------------|----------|----------------|
| HENDRICKSON, STUART L | 1754     | 428-408000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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- 1 Bell, Boyd & Lloyd LLC
- 2 Chicago, Illinois
- 3 \_\_\_\_\_

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PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SONY CORPORATION

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

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- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 1

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(Authorized Signature)

(Date) 5/14/04

Thomas C. Basso (46,541)

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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05/19/2004 HVUONG2 00000005 09292834

01 FC:1504  
 02 FC:1501  
 03 FC:8001

300.00 OP  
 1330.00 OP  
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TRANSMIT THIS FORM WITH FEE(S)

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)**

(37 C.F.R. 1.311)

Docket No.

112857-034

Applicant(s): Moriguchi et al.

Serial No.

09/292,834

Filing Date

April 16, 1999

Examiner

S. Hendrickson

Group Art Unit

1754

Confirmation No.

4060

Invention: **GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY**

**Mail Stop Issue Fee**  
**TO THE COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
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Transmitted herewith are the following for the above-identified application.

- ☒ Issue Fee Transmittal Form PTOL-85
- ☒ Utility Fee: \$ 1330.00    ☐ Design Fee: \_\_\_\_\_    ☐ Plant Fee: \_\_\_\_\_
- ☒ Publication Fee: \$ 300.00
- ☒ A check in the amount of \$1,633.00 is attached.
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- ☐ Charge the amount of \_\_\_\_\_
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Signature

Dated: May 14, 2004

Thomas C. Basso  
Reg. No. 46,541  
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P.O. Box 1135  
Chicago, IL 60690-1135  
Phone: 312-807-4310

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Renee Street

Typed or Printed Name of Person Mailing Correspondence